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To: Examiner Shukla  
Group Art Unit: 1632

Company: U.S. PTO  
Location: Washington, D.C.  
Phone:  
Fax: 703-872-9306

From: Cynthia L. Kanik, Ph.D.  
Phone: (617) 227-7400  
Fax: (617) 742-4214  
Case No.: ATX-007CP4

Date: October 29, 2004

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October 29, 2004

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Re: U.S. Patent Application No.: 09/276,820  
For: **COMPOSITIONS & METHODS FOR NON-TARGETED ACTIVATION OF  
ENDOGENOUS GENES**  
Inventors: Harrington, John I., et al.  
Filed: March 26, 1999  
Our Ref. No.: ATX-007CP4

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Post-Allowance Information Disclosure Statement;
2. PTO Form SB/08;
3. Certificate of Transmission.

Please charge the \$180.00 submission fee to our Deposit Order Account No. 12-0080.  
Please charge any necessary additional fees or credit any overpayments to our Deposit Order  
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I hereby certify that this correspondence is being facsimile  
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October 29, 2004

Date

Cynthia L. Kanik, Esq. Registration No. 37,320

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP

Cynthia L. Kanik, Esq.  
Registration No. 37,320  
Attorney for Applicants

PTO/SB/07 (09-04)

Approved for use through 07/31/2008 OMB 0651-0031

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Application No. (if known): 09/276820

Attorney Docket No.: ATX-007CP4

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Harrington, John J., *et al.*

Serial No.: 09/276,820

Filed: March 26, 1999

For: *COMPOSITIONS & METHODS FOR NON-TARGETED ACTIVATION OF ENDOGENOUS GENES*

Attorney Docket No.: ATX-007CP4

Group Art Unit: 1632

Examiner: Shukla, R.

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Date of Signature and of Facsimile

By:

  
Cynthia L. Kanik, Esq.  
Registration No. 37,320  
Attorney for ApplicantsPOST-ALLOWANCE INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney are aware of the following publications and information, listed on the attached PTO Form SB/08, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. A copy of each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other

Serial Number: 09/276,820

Page -2-

Group Art Unit: 1632

relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, Applicants understand that the Examiner will make an independent evaluation of the cited publications.

In accordance with 37 CFR §1.97(d)(2) and §1.17(p), please charge the \$180.00 submission fee to our Deposit Order Account No. 12-0080. Please charge any necessary additional fees or credit any overpayments to our Deposit Order Account No. 12-0080.

Respectfully submitted,  
LAHIVE & COCKFIELD, LLP



Cynthia L. Kanik, Esq.  
Registration No. 37,320  
Attorney for Applicants

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Date: October 29, 2004

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Enclosures

PTO/SB/08/00 (08-03)

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Substitute for form 1418A/B/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			Application Number	09/276,820	
			Filing Date	March 26, 1999	
			First Named Inventor	Harrington, John J.	
			Art Unit	1632	
			Examiner Name	Shukla, R.	
Sheet	1	of	1	Attorney Docket Number	ATX-007CP4

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	6,361,972 B1	03-26-2002	Harrington, et al.	
	A2	6,410,266 B1	08-25-2002	Harrington, et al.	
	A3	6,524,818 B1	02-26-2003	Harrington, et al.	
	A4	6,524,824 B1	02-25-2003	Harrington, et al.	
	A5	6,541,221 B1	04-01-2003	Harrington, et al.	
	A6	6,802,686 B1	08-05-2003	Harrington, et al.	
	A7	6,823,958 B1	09-23-2003	Harrington, et al.	
	A8	6,670,185 B1	12-30-2003	Harrington, et al.	
	A9	6,740,503 B1	05-25-2004	Harrington, et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>2</sup> -Number <sup>3</sup> -Kind Code <sup>4</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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Substituted for form 1445A/B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)			<b>Complete if Known</b> Application Number 09/276,820 Filing Date March 26, 1999 First Named Inventor Harrington, John J. Art Unit 1632 Examiner Name Shukla, R. Agency Docket Number ATX-007CP4		
Sheet	1	of	1		

Note: "Citation Not To Appear On The Face Of The Patent"

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	B1	09/484,331		Harrington, et al.	
	B2	09/484,895		Harrington, et al.	
	B3	09/780,897		Harrington, et al.	
	B4	09/331,329		Harrington, et al.	
	B5	09/513,997		Harrington, et al.	
	B6	09/513,575		Harrington, et al.	
	B7	10/835,818		Harrington, et al.	
	B8	09/513,574		Harrington, et al.	

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